

03/28/01

J1041 U.S. PTO

03-29-01

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Please type a plus sign (+) inside this box → ☐PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	8268.03
First Inventor	Charleata Carter
Title	
Express Mail Label No.	EF368933088US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets
5. Oath or Declaration [Total Pages
 - a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. ☐ (for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____/_____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Fax

501-374-4234

Name (Print/Type)

Joe D. Calhoun

Registration No. (Attorney/Agent)

40,293

Signature



Date

3-28-01

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)⁰⁰ 475**Compleat if Known**

Application Number

Filing Date

First Named Inventor

Charleata Carter, M.D.

Examiner Name

Group Art Unit

Attorney Docket No.

8268.03

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
NumberDeposit
Account
Name☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status.
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$)

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

355

SUBTOTAL (1) (\$)⁰⁰ 355**2. EXTRA CLAIM FEES**

Total Claims 20 -20** = 0 X 40⁰⁰ = 0⁰⁰

Independent Claims 6 -3** = 3 X 40⁰⁰ = 120⁰⁰

Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 ** Reissue independent claims
over original patent110 18 210 9 ** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2)

(\$)⁰⁰ 120

**For number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**Large
EntitySmall
EntityFee
CodeFee
CodeFee
CodeFee
Code

Fee Description

Fee Paid

105

130

205

65

Surcharge - late filing fee or oath

127

50

227

25

Surcharge - late provisional filing fee or
cover sheet

139

130

139

130

Non-English specification

147

2,520

147

2,520

For filing a request for *ex parte* reexamination

112

920*

112

920*

Requesting publication of SIR prior to
Examiner action

113

1,840*

113

1,840*

Requesting publication of SIR after
Examiner action

115

110

215

55

Extension for reply within first month

116

390

216

195

Extension for reply within second month

117

890

217

445

Extension for reply within third month

118

1,390

218

695

Extension for reply within fourth month

128

1,890

228

945

Extension for reply within fifth month

119

310

219

155

Notice of Appeal

120

310

220

155

Filing a brief in support of an appeal

121

270

221

135

Request for oral hearing

138

1,510

138

1,510

Petition to institute a public use proceeding

140

110

240

55

Petition to revive - unavoidable

141

1,240

241

620

Petition to revive - unintentional

142

1,240

242

620

Utility issue fee (or reissue)

143

440

243

220

Design issue fee

144

600

244

300

Plant issue fee

122

130

122

130

Petitions to the Commissioner

123

50

123

50

Processing fee under 37 CFR 1.17(q)

126

180

126

180

Submission of Information Disclosure Stmt

581

40

581

40

Recording each patent assignment per
property (times number of properties)

146

710

246

355

Filing a submission after final rejection
(37 CFR § 1.129(a))

149

710

249

355

For each additional invention to be
examined (37 CFR § 1.129(b))

179

710

279

355

Request for Continued Examination (RCE)

169

900

169

900

Request for expedited examination
of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)

Joe D. Calhoun

Registration No.
(Attorney/Agent)

40,293

Complete (if applicable)

Telephone

501-347-2100

Signature

Joe D. Calhoun

Date

3-28-01

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CALHOUN & HAASE

Attorneys

Dennis B. Haase*

Joseph D. Calhoun III†

28 March 2001

Assistant Commissioner for Patents
Box: Patent Application
Washington, D.C. 20231

**RE: Utility Patent Application For:
CELL LINE AND METHOD OF MAKING AND USING SAME ("CAC-1" cells)
Attorney Docket No. 8268.03**


Dear Sir or Madam:

I represent the inventor with respect to the above-referenced application for utility patent. You will find enclosed the following:

1. Application for Patent including cover sheet, Detailed Description of the Invention, claims and 12 sheets of drawings;
2. Declaration for Utility Patent Application;
3. New Utility Patent Application Transmittal;
4. Fee Transmittal, with fee in the amount of \$475.00; and
5. A return postcard acknowledging your receipt of the above.

Please return the postcard, and direct all future correspondence in this matter to me at this law office.

Very truly yours,


Joe D. Calhoun
[Patent Bar Reg. No. 40,293]

Enclosures

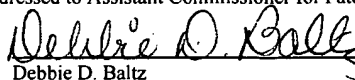
cc: Dr. Charleata Carter

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10

"Express Mail" mailing label number: EF368933088US

Date of Deposit: 28 March 2001

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.


Debbie D. Baltz
3-28-01
Date of Signature

All Admitted in Arkansas and Before the Patent & Trademark Office

*Also admitted in California and Illinois

†Also admitted in California

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400 West Capitol, Suite 2701
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